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<b>Calculations of Fields in Optical Cavities</b> <b>(Summation Approximation)</b>
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# 1 Complex Fields

The laser beam is represented by an almost monochromatic wave

$$\mathcal{E}(t, z) = a(t, z) e^{i\phi(t, z)} e^{i(\omega t - kz)}, \quad (1)$$

where  $a$  and  $\phi$  are slowly changing functions of time.

The phase modulated light can be represented as a multiplet of sidebands

$$s = \begin{cases} 0, & \text{for carrier} \\ \pm 1, \pm 2, \dots, & \text{for sidebands} \end{cases} \quad (2)$$

Then the sideband frequencies and the wavenumbers are

$$\omega = \omega_0 + \Omega, \quad (3)$$

$$k = k_0 + K, \quad (4)$$

where  $\Omega$  and  $K$  are the frequency and the wavenumber relative to the carrier. These are defined by the modulation

$$\Omega = s \Omega_{mod}, \quad (5)$$

$$K = s K_{mod}, \quad (6)$$

where  $\Omega_{mod}$  is the modulation frequency in rad/sec and  $K_{mod}$  is the modulation wavenumber.

Then the sideband fields are given by

$$\mathcal{E}(t, z) = \left[ a(t, z) e^{i\phi(t, z)} \right] e^{i(\omega_0 t - k_0 z)} e^{i(\Omega t - K z)}, \quad (7)$$

where the last term represents the phase of the sideband relative to the carrier.

It is convenient to omit the exponential factor  $e^{j\omega t}$  in the definition of the electric field. Also it is convenient to take into account the relative phases  $e^{-ikz}$  through the propagators. In this approach, the electro-magnetic wave is represented by the following complex field:

$$E(t, z) = \left[ a(t, z) e^{i\phi(t, z)} \right]. \quad (8)$$

The propagator is

$$P_{ab} = e^{-iW_{ab}}, \quad (9)$$

where  $W_{ab}$  is the propagation phase

$$W_{ab} = kL_{ab}. \quad (10)$$

Such a phase is usually a large number. The multiples of  $2\pi$  can be omitted.

$$W_{ab} = kL_{ab}, \quad (11)$$

$$= k_0 L_{ab} + K L_{ab}, \quad (12)$$

$$\equiv V_{ab} + K L_{ab}. \quad (13)$$

where

$$V_{ab} = k_0 L_{ab} \pmod{2\pi}. \quad (14)$$

The amplitude transmissivity and reflectivity is

$$t_a = \sqrt{T_a}, \quad (15)$$

$$r_a^{(0)} = \sqrt{R_a} = \sqrt{1 - T_a - \mathcal{L}_a}. \quad (16)$$

where  $a$  is the mirror label.

## 2 Fabry-Perot Cavity

The amplitude reflectivities are:

$$r_a^{(0)} \text{ - reflectivity of Input Mirror,}$$

$$r_b^{(0)} \text{ - reflectivity of End Mirror.}$$

Displacements are

$$x_a(t) \text{ - displacement of Input Mirror,}$$

$$x_b(t) \text{ - displacement of End Mirror.}$$

In the expressions below the reflectivities always enter with the corresponding phases. These are complex reflectivities which depend on the displacements

$$r_a(t) = r_a^{(0)} e^{-2ikx_a(t)}, \quad (17)$$

$$r_b(t) = r_b^{(0)} e^{-2ikx_b(t)} \quad (18)$$

### 2.1 Selfconsistent equation

The forward propagating field measured at the front mirror inside the cavity satisfies the selfconsistent equation

$$E(t) = U(t) E(t - 2T) + t_a E_{in}(t). \quad (19)$$

Here  $U(t)$  is the round-trip operator

$$U(t) = r_a^*(t) r_b(t - T) e^{-2i\Phi}, \quad (20)$$

and asterisk (\*) stands for complex conjugation. The phase is

$$\Phi = \Omega T = KL, \quad (21)$$

where  $T$  is the one-way propagation time the cavity and  $L$  its length:

$$T = \frac{L}{c}. \quad (22)$$

## 2.2 Adiabatic approximation

Neglecting the delay due to finite propagation times,

$$E(t - 2T) \approx E(t). \quad (23)$$

we obtain the adiabatic approximation.

In the adiabatic approximation, the field satisfies the equation

$$E(t) = U(t) E(t) + t_a E_{in}(t). \quad (24)$$

Its solution is

$$E(t) = \frac{t_a E_{in}(t)}{1 - U(t)}. \quad (25)$$

Also in the adiabatic approximation we can assume that

$$r_b(t - T) \approx r_b(t), \quad (26)$$

and therefore the round-trip operator is given by

$$U(t) \approx r_a^*(t) r_b(t) e^{-2i\Phi}. \quad (27)$$

## 2.3 Iterations

Summation approximation can be obtained as follows. After one round trip

$$E(t) = U(t) E(t - 2T) + t_a E_{in}(t). \quad (28)$$

After two round trips

$$E(t) = U(t) U(t - 2T) E(t - 4T) + \quad (29)$$

$$t_a E_{in}(t) + \quad (30)$$

$$t_a U(t) E_{in}(t - 2T), \quad (31)$$

and so on. After  $n$  round trips

$$E(t) = U(t) U(t - 2T) \dots U(t + 2T - 2nT) E(t - 2nT) + \quad (32)$$

$$t_a E_{in}(t) + \quad (33)$$

$$t_a U(t) E_{in}(t - 2T) + \dots + \quad (34)$$

$$t_a U(t) U(t - 2T) \dots U(t + 4T - 2nT) E_{in}(t + 2T - 2nT). \quad (35)$$

This equation can be written in terms of products as

$$E(t) = E(t - 2nT) \prod_{m=0}^{n-1} U(t - 2mT) + \quad (36)$$

$$t_a \sum_{p=0}^{n-1} E_{in}(t - 2pT) \prod_{m=0}^{p-1} U(t - 2mT). \quad (37)$$

for  $n = 1, 2, \dots$

## 2.4 $n$ -Round Trip Approximation

Assume that the input fields do not change significantly over time of  $n$  round trips

$$E_{in}(t) \approx E_{in}(t - 2T) \approx \dots \approx E_{in}(t - 2t - 2nT). \quad (38)$$

Assume also that the round-trip cavity operators do not change significantly over time of  $n$  round trips

$$U(t) \approx U(t - 2T) \approx \dots \approx U(t - 2t - 2nT). \quad (39)$$

Then the selfconsistent equation becomes

$$E(t) = U(t)^n E(t - 2nT) + S_n(t) t_a E_{in}(t). \quad (40)$$

where

$$S_n(t) = 1 + U(t) + \dots + U(t)^{n-1}. \quad (41)$$

The summation can be done exactly

$$S_n(t) = \frac{1 - U(t)^n}{1 - U(t)}. \quad (42)$$

The limit of infinite number of round trips is

$$n \rightarrow \infty, \quad (43)$$

$$S_n(t) \rightarrow \frac{1}{1 - U(t)}, \quad (44)$$

and the  $n$ -round trip approximation becomes the adiabatic approximation.

### 3 Dual Recycling Cavity

#### 3.1 Notations and Conventions

##### 3.1.1 Field Notations

The fields are

- $E_{in}$  - incoming fields ,
- $E_{out}$  - outgoing fields .
- $E$  - intertal fields (moving towards BS),
- $E'$  - intertal fields (moving away from BS).

Assume that the incoming fields  $E_{in}$  are known. Then the internal fields,  $E, E'$ , and the outgoing fields,  $E_{out}$ , are calculated for given mirror motions.

Individual fields are denoted

$$E = \{A, B, C, D\}, \tag{45}$$

corresponding to the mirror or port as shown in Figure 1.

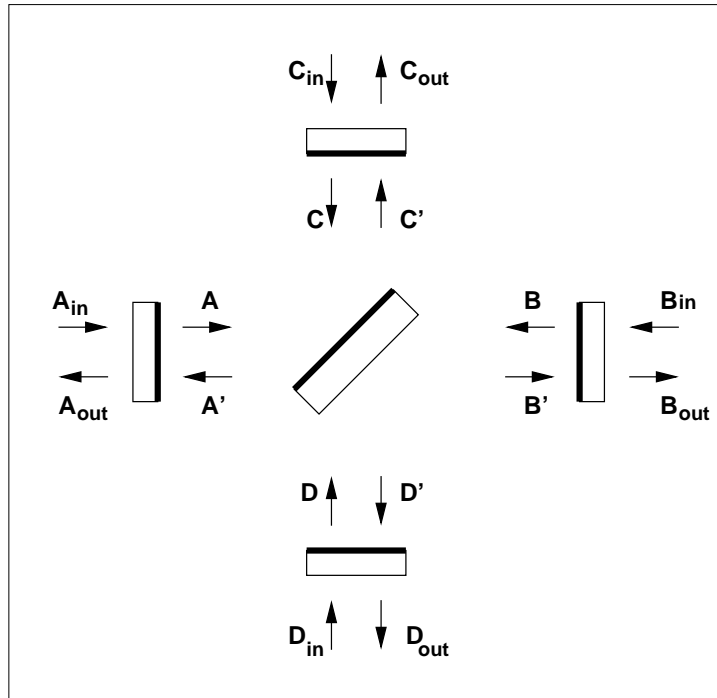


Figure 1: Fields in Dual Recycling Cavity

### 3.1.2 Mirror Positions

The displacements of each mirror relative to their reference planes are

- $x_a$  - displacement of Mirror "a" ,
- $x_b$  - displacement of Mirror "b" ,
- $x_c$  - displacement of Mirror "c" ,
- $x_d$  - displacement of Mirror "d" ,
- $x_0$  - displacement of Beam Splitter .

### 3.1.3 Mirror Reflectivities

Nominal (amplitude) reflectivities are

- $r_a^{(0)}$  - reflectivity of Mirror "a" ,
- $r_b^{(0)}$  - reflectivity of Mirror "b" ,
- $r_c^{(0)}$  - reflectivity of Mirror "c" ,
- $r_d^{(0)}$  - reflectivity of Mirror "d" ,
- $r_0^{(0)}$  - reflectivity of Beam Splitter .

The extra phases which occur in the reflections off moving mirrors are "hidden" in the corresponding reflectivities:

$$r_a = \sigma_a r_a^{(0)} e^{2ikx_a}, \quad (46)$$

$$r_b = \sigma_b r_b^{(0)} e^{2ikx_b}, \quad (47)$$

$$r_c = \sigma_c r_c^{(0)} e^{2ikx_c}, \quad (48)$$

$$r_d = \sigma_d r_d^{(0)} e^{2ikx_d}, \quad (49)$$

$$r_0 = \sigma_0 r_0^{(0)} e^{\sqrt{2}ikx_0}. \quad (50)$$

The sign convention is

- $\sigma_j = +1$  - if the orientation of mirror as in Fig.1,
- $\sigma_j = -1$  - if the orientation of mirror is opposite

## 3.2 Propagators and Phases

### 3.2.1 Detuning Phases

Define detuning phases for each length as

$$U_j = k_0 L_j, \quad (51)$$

where  $k_0$  is the carrier wavenumber and  $L_j$  are the lengths. In the program these detuning phases must be specified explicitly. (Their default values are zero.)

Then the phase acquired in one-way trips from mirror to mirror are

$$V_{aob} = U_{ao} + U_{bo}, \quad (52)$$

$$V_{aoc} = U_{ao} + U_{co}, \quad (53)$$

$$V_{dob} = U_{do} + U_{bo}, \quad (54)$$

$$V_{doc} = U_{do} + U_{co}, \quad (55)$$

### 3.2.2 Propagation Phases

The phases associated with each one-way trip in the dual recycling cavity are

$$W_{aob} = V_{aob} + K L_{aob}, \quad (56)$$

$$W_{aoc} = V_{aob} + K L_{aoc}, \quad (57)$$

$$W_{dob} = V_{aob} + K L_{dob}, \quad (58)$$

$$W_{doc} = V_{aob} + K L_{doc}, \quad (59)$$

where  $K$  is the sideband wavenumber relative to the carrier:

$$K = s K_{mod}. \quad (60)$$

### 3.2.3 Propagators

The one-way propagators for fields in the dual-recycling cavity are

$$P_{aob} = e^{-iW_{aob}}, \quad (61)$$

$$P_{aoc} = e^{-iW_{aoc}}, \quad (62)$$

$$P_{dob} = e^{-iW_{dob}}, \quad (63)$$

$$P_{doc} = e^{-iW_{doc}}, \quad (64)$$

### 3.3 Equations for Fields

The internal fields satisfy the equations

$$A(t) = t_a A_{in}(t) - r_a A'(t), \quad (65)$$

$$B(t) = t_b B_{in}(t) - r_b B'(t), \quad (66)$$

$$C(t) = t_c C_{in}(t) - r_c C'(t), \quad (67)$$

$$D(t) = t_d D_{in}(t) - r_d D'(t), \quad (68)$$

and also

$$A'(t) = t_0 P_{aob} B(t - T_{aob}) - r_0^* P_{aoc} C(t - T_{aoc}), \quad (69)$$

$$B'(t) = t_0 P_{aob} A(t - T_{aob}) + r_0 P_{dob} D(t - T_{dob}), \quad (70)$$

$$C'(t) = t_0 P_{doc} D(t - T_{doc}) - r_0^* P_{aoc} A(t - T_{aoc}), \quad (71)$$

$$D'(t) = t_0 P_{doc} C(t - T_{doc}) + r_0 P_{dob} B(t - T_{dob}). \quad (72)$$

Then the equations for outgoing fields are

$$A_{out}(t) = r_a^* A_{in}(t) + t_a A'(t), \quad (73)$$

$$B_{out}(t) = r_b^* B_{in}(t) + t_b B'(t), \quad (74)$$

$$C_{out}(t) = r_c^* C_{in}(t) + t_c C'(t), \quad (75)$$

$$D_{out}(t) = r_d^* D_{in}(t) + t_d D'(t). \quad (76)$$

### 3.4 $4 \times 4$ Matrix Representation

Eliminate the primed fields  $A', B', C', D'$ . The result is

$$A(t) = -t_0 r_a P_{aob} B(t - T_{aob}) + r_0^* r_a P_{aoc} C(t - T_{aoc}) + t_a A_{in}(t), \quad (77)$$

$$B(t) = -t_0 r_b P_{aob} A(t - T_{aob}) - r_0 r_b P_{dob} D(t - T_{dob}) + t_b B_{in}(t), \quad (78)$$

$$C(t) = -t_0 r_c P_{doc} D(t - T_{doc}) + r_0^* r_c P_{aoc} A(t - T_{aoc}) + t_c C_{in}(t), \quad (79)$$

$$D(t) = -t_0 r_d P_{doc} C(t - T_{doc}) - r_0 r_d P_{dob} B(t - T_{dob}) + t_d D_{in}(t). \quad (80)$$

These equations can be written as

$$X(t) = M(t) X(t - 2T) + F(t), \quad (81)$$

where

$$X(t) = \begin{bmatrix} A(t) \\ B(t) \\ C(t) \\ D(t) \end{bmatrix}, \quad F(t) = \begin{bmatrix} t_a A_{in}(t) \\ t_b B_{in}(t) \\ t_c C_{in}(t) \\ t_d D_{in}(t) \end{bmatrix}, \quad (82)$$

and  $M(t)$  is the  $4 \times 4$  matrix

$$M = \begin{pmatrix} 0 & -t_0 r_a P_{aob} & r_0^* r_a P_{aoc} & 0 \\ -t_0 r_b P_{aob} & 0 & 0 & -r_0 r_b P_{dob} \\ r_0^* r_c P_{aoc} & 0 & 0 & -t_0 r_c P_{doc} \\ 0 & -r_0 r_d P_{dob} & -t_0 r_d P_{doc} & 0 \end{pmatrix}. \quad (83)$$

The result of  $n$  iterations is given by

$$X(t) = M(t)^n X(t - 2nT) + S_n(t) F(t), \quad (84)$$

where

$$S_n(t) = [1 - M(t)]^{-1} [1 - M^n(t)]. \quad (85)$$

### 3.5 $2 \times 2$ -Block Matrix Representation

An alternative approach is to reduce the  $4 \times 4$  matrix into two  $2 \times 2$  matrices. This reduction is based on the fact that the square of the matrix  $M$  is block diagonal.

#### 3.5.1 Second Iteration

$$A(t) = + A(t - T_{aob} - T_{boa})P^2 t_0 r_b t_0 r_a \quad (86)$$

$$+ A(t - T_{aoc} - T_{coa})P^2 r_0^* r_c r_0^* r_a \quad (87)$$

$$+ D(t - T_{dob} - T_{boa})P^2 r_0 r_b t_0 r_a \quad (88)$$

$$- D(t - T_{doc} - T_{coa})P^2 t_0 r_c r_0^* r_a \quad (89)$$

$$- B_{in}(t - T_{boa})P t_b t_0 r_a \quad (90)$$

$$+ C_{in}(t - T_{coa})P t_c r_0^* r_a \quad (91)$$

$$+ A_{in}(t) t_a , \quad (92)$$

$$(93)$$

$$B(t) = + B(t - T_{boa} - T_{aob})P^2 t_0 r_a t_0 r_b \quad (94)$$

$$+ B(t - T_{bod} - T_{dob})P^2 r_0 r_d r_0 r_b \quad (95)$$

$$- C(t - T_{coa} - T_{aob})P^2 r_0^* r_a t_0 r_b \quad (96)$$

$$+ C(t - T_{cod} - T_{dob})P^2 t_0 r_d r_0 r_b \quad (97)$$

$$- A_{in}(t - T_{aob})P t_a t_0 r_b \quad (98)$$

$$- D_{in}(t - T_{dob})P t_d r_0 r_b \quad (99)$$

$$+ B_{in}(t) t_b , \quad (100)$$

$$(101)$$

$$C(t) = + B(t - T_{bod} - T_{doc})P^2 r_0 r_d t_0 r_c \quad (102)$$

$$- B(t - T_{boa} - T_{aoc})P^2 t_0 r_a r_0^* r_c \quad (103)$$

$$- C(t - T_{cod} - T_{doc})P^2 t_0 r_d t_0 r_c \quad (104)$$

$$+ C(t - T_{coa} - T_{aoc})P^2 r_0^* r_a r_0^* r_c \quad (105)$$

$$- D_{in}(t - T_{doc})P t_d t_0 r_c \quad (106)$$

$$+ A_{in}(t - T_{aoc})P t_a r_0^* r_c \quad (107)$$

$$+ C_{in}(t) t_c , \quad (108)$$

$$(109)$$

$$D(t) = - A(t - T_{aoc} - T_{cod})P^2 r_0^* r_c t_0 r_d \quad (110)$$

$$+ A(t - T_{aob} - T_{bod})P^2 t_0 r_b r_0 r_d \quad (111)$$

$$+ D(t - T_{doc} - T_{cod})P^2 t_0 r_c t_0 r_d \quad (112)$$

$$+ D(t - T_{dob} - T_{bod})P^2 r_0 r_b r_0 r_d \quad (113)$$

$$- C_{in}(t - T_{cod})P t_c t_0 r_d \quad (114)$$

$$- B_{in}(t - T_{bod})P t_b r_0 r_d \quad (115)$$

$$+ D_{in}(t) t_d . \quad (116)$$

### 3.5.2 Factorization

Equations for  $A$  and  $D$

$$A(t) = +A(t-2T)P^2 (t_0 r_b t_0 + r_0^* r_c r_0^*) r_a \quad (117)$$

$$+D(t-2T)P^2 (-t_0 r_c r_0^* + r_0 r_b t_0) r_a \quad (118)$$

$$-B_{in}(t-T)P t_b t_0 r_a \quad (119)$$

$$+C_{in}(t-T)P t_c r_0^* r_a \quad (120)$$

$$+A_{in}(t) t_a \quad (121)$$

$$(122)$$

$$D(t) = +A(t-2T)P^2 (t_0 r_b r_0 - r_0^* r_c t_0) r_d \quad (123)$$

$$+D(t-2T)P^2 (t_0 r_c t_0 + r_0 r_b r_0) r_d \quad (124)$$

$$-C_{in}(t-T)P t_c t_0 r_d \quad (125)$$

$$-B_{in}(t-T)P t_b r_0 r_d \quad (126)$$

$$+D_{in}(t) t_d. \quad (127)$$

Equations for  $B$  and  $C$

$$B(t) = +B(t-2T)P^2 (t_0 r_a t_0 + r_0 r_d r_0) r_b \quad (128)$$

$$+C(t-2T)P^2 (t_0 r_d r_0 - r_0^* r_a t_0) r_b \quad (129)$$

$$-A_{in}(t-T)P t_a t_0 r_b \quad (130)$$

$$-D_{in}(t-T)P t_d r_0 r_b \quad (131)$$

$$+B_{in}(t) t_b, \quad (132)$$

$$(133)$$

$$C(t) = +B(t-2T)P^2 (-t_0 r_a r_0^* + r_0 r_d t_0) r_c \quad (134)$$

$$+C(t-2T)P^2 (-t_0 r_d t_0 + r_0^* r_a r_0^*) r_c \quad (135)$$

$$-D_{in}(t-T)P t_d t_0 r_c \quad (136)$$

$$+A_{in}(t-T)P t_a r_0^* r_c \quad (137)$$

$$+C_{in}(t) t_c. \quad (138)$$

### 3.5.3 2-Vectors

Introduce  $F_{1,2}$  and  $G_{1,2}$  as follows

$$F_1(t) = -B_{in}(t-T)P t_b t_0 r_a + C_{in}(t-T)P t_c r_0^* r_a + A_{in}(t) t_a, \quad (139)$$

$$(140)$$

$$F_2(t) = -C_{in}(t-T)P t_c t_0 r_d - B_{in}(t-T)P t_b r_0 r_d + D_{in}(t) t_d, \quad (141)$$

$$(142)$$

$$G_1(t) = -A_{in}(t-T)P t_a t_0 r_b - D_{in}(t-T)P t_d r_0 r_b + B_{in}(t) t_b, \quad (143)$$

$$(144)$$

$$G_2(t) = -D_{in}(t-T)P t_d t_0 r_c + A_{in}(t-T)P t_a r_0^* r_c + C_{in}(t) t_c. \quad (145)$$

### 3.5.4 Matrix Equations

Then combine these into complex 2-vectors

$$F(t) = \begin{bmatrix} F_1(t) \\ F_2(t) \end{bmatrix}, \quad G(t) = \begin{bmatrix} G_1(t) \\ G_2(t) \end{bmatrix}. \quad (146)$$

Also form

$$X(t) = \begin{bmatrix} A(t) \\ D(t) \end{bmatrix}, \quad Y(t) = \begin{bmatrix} B(t) \\ C(t) \end{bmatrix}. \quad (147)$$

Then the iteration equations can be written in the matrix form:

$$X(t) = M X(t-2T) + F(t), \quad (148)$$

$$Y(t) = N Y(t-2T) + G(t). \quad (149)$$

where  $M$  and  $N$  are complex  $2 \times 2$  matrices

$$M = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix}, \quad N = \begin{pmatrix} n_{11} & n_{12} \\ n_{21} & n_{22} \end{pmatrix}. \quad (150)$$

Their coefficients are

$$m_{11} = (t_0 r_b t_0 + r_0^* r_c r_0^*) r_a P^2, \quad (151)$$

$$m_{12} = (-t_0 r_c r_0^* + r_0 r_b t_0) r_a P^2, \quad (152)$$

$$m_{21} = (t_0 r_b r_0 - r_0^* r_c t_0) r_d P^2, \quad (153)$$

$$m_{22} = (t_0 r_c t_0 + r_0 r_b r_0) r_d P^2. \quad (154)$$

$$n_{11} = (t_0 r_a t_0 + r_0 r_d r_0) r_b P^2, \quad (155)$$

$$n_{12} = (t_0 r_d r_0 - r_0^* r_a t_0) r_b P^2, \quad (156)$$

$$n_{21} = (-t_0 r_a r_0^* + r_0 r_d t_0) r_c P^2, \quad (157)$$

$$n_{22} = (-t_0 r_d t_0 + r_0^* r_a r_0^*) r_c P^2. \quad (158)$$

### 3.5.5 Summation Approximation

The result of  $n$  iterations is given by

$$X(t) = M^n X(t - 2nT) + S_n F(t) \quad (159)$$

where

$$S_n = (1 - M)^{-1}(1 - M^n) \quad (160)$$

A similar result can be obtained for  $Y$ -vector:

$$Y(t) = N^n Y(t - 2nT) + R_n G(t) \quad (161)$$

where

$$R_n = (1 - N)^{-1}(1 - N^n) \quad (162)$$

## 4 Numerical Simulation

